

UNCORRECTED PROOF!

1 Global Perspectives of Loss of Human Life
2 Caused by Floods

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8 **Abstract.** Every year floods cause enormous damage all over the world. This study investi-
9 gates loss of human life statistics for different types of floods and different regions on a global
10 scale. The OFDA/CRED Database contains data on international disasters and is maintained
11 by the Centre for Research on the Epidemiology of Disasters in Brussels (CRED) in coop-
12 eration with United States Office for Foreign Disaster Assistance (OFDA). Information from
13 this source on a large number of flood events, which occurred between January 1975 and June
14 2002, is evaluated with respect to flood location and flood type. Due to the limited availability
15 of information on coastal flood events, the scope of this study is limited to three types of
16 freshwater flooding: river floods, flash floods and drainage problems. First, the development of
17 loss of life statistics over time is discussed. Second, the dataset is analysed by region, by flood
18 type and by the combination of type and region. The study shows that flash floods result in the
19 highest average mortality per event (the number of fatalities divided by the number of affected
20 persons). A cross analysis by flood type and location shows that average mortality is relatively
21 constant for the different types over various continents, while the magnitude of the impacts
22 (numbers of killed) and affected for a certain type varies between the different continents. On a
23 worldwide scale Asian river floods are most significant in terms of number of persons killed
24 and affected. Finally, a comparison with figures for other types of natural disasters shows that
25 floods are the most significant disaster type in terms of the number of persons affected.

26 **Key words:** flood mortality, loss of life, floods, flood damage, natural disasters
27

28 **1. Introduction**

29 Every year floods cause enormous damage all over the world. In the last
30 decade of the 20th century floods killed about 100,000 persons and affected
31 over 1.4 billion people. These figures are derived from the OFDA/CRED
32 International Disaster Database, maintained by the Centre for Research on
33 the Epidemiology of Disasters in Brussels (CRED) in cooperation with
34 United States Office for Foreign Disaster Assistance (OFDA). The statistics
35 show that floods have a large impact on human well-being on a global scale.
36 As a direct consequence floods may lead to economic damage and damages
37 to eco-systems and historical and cultural values. Furthermore floods can
38 lead to the loss of human life and other (non-lethal) human health effects,

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39 (Ohl and Tapsell 2000 WHO, 2002;Hajat *et al.*, 2003). Indirectly floods can
 40 cause the loss of economic and agricultural production and a decrease of
 41 socio-economic welfare (Appleton, 2002). Future developments may lead to a
 42 growth of the worldwide risks of flooding. The effects associated with global
 43 warming, such as sea level rise, more intensive precipitation levels and higher
 44 river discharges, may increase the frequency and the extent of flooding on a
 45 worldwide scale. Global population growth, more intensive urbanization in
 46 flood prone areas and the limited development of sustainable flood-control
 47 strategies, will increase the (potential) impacts of floods.

48 This study considers the most serious and irreversible type of conse-
 49 quences of flooding, namely loss of human life. Previous studies have focused
 50 on the analysis of flood fatalities for a specific country, for example for
 51 Australia (Coates, 1999) or flash flood fatalities in the United States (French
 52 *et al.*, 1983, Mooney, 1983). Another study by Berz *et al.* (2001) has docu-
 53 mented general statistics of various natural disasters on a worldwide scale.
 54 However, a study that analyses global statistics on loss of human life caused
 55 by floods is not yet found in literature. Although every flood can be con-
 56 sidered as a unique event with unique characteristics, patterns may be ob-
 57 served when a large number of floods is studied. This study investigates loss
 58 of life statistics for different types of floods and different regions with
 59 information from the OFDA/CRED International Disaster Database con-
 60 cerning a large number of flood events worldwide. It provides insight in the
 61 magnitude of loss of life in floods on a global scale. Furthermore, this
 62 investigation will relate the severity of the different flood events to their
 63 characteristics and their location of occurrence.

64 This paper is structured as follows: Section 2 gives a description of the
 65 available information and the methodology for classification of data. Section
 66 3 presents the results of the evaluation of loss of life statistics for floods.
 67 These statistics are compared with figures for other natural disasters in
 68 Section 4. Section 5 presents the conclusions of this study.

69 2. Analysis of Flood Data from the OFDA/CRED International Disaster 70 Database

71 2.1. THE OFDA/CRED INTERNATIONAL DISASTER DATABASE

72 The OFDA/CRED International Disaster Database (EM-DAT) contains
 73 essential core data on the occurrence and effects of over 12,800 mass disasters
 74 in the world from 1900 to the present. The database is compiled from various
 75 sources, including UN agencies, non-governmental organisations, insurance
 76 companies, research institutes and press agencies. EM-DAT is maintained by
 77 the CRED in Brussels and it is publicly accessible at www.cred.be. The main
 78 objective of the database, as given on the CRED website, is to serve the

79 purposes of humanitarian action at national and international levels. It is an
80 initiative aimed to rationalize decision-making for disaster preparedness, as
81 well as providing an objective base for vulnerability assessment and priority
82 setting. A disaster is included in the database when at least one of the fol-
83 lowing four criteria is fulfilled: 10 or more persons are killed, 100 or more
84 persons are affected, there is a declaration of a state of emergency, or there is
85 a call for international assistance. Each disaster is recorded by type, date,
86 country and numbers of people killed, injured and affected. The definition
87 given on www.cred.be for persons affected is: People requiring immediate
88 assistance during a period of emergency, i.e. requiring basic survival needs
89 such as food, water, shelter, sanitation and immediate medical assistance. In
90 addition also people that have been injured and left homeless after a disaster
91 are included in the total number of affected.

92 The quality of the data incorporated in the database strongly relies on the
93 quality and the reliability of underlying sources. Especially the estimates of
94 numbers of people of killed and affected may include substantial uncertainty
95 and the figures should be regarded as indicative. Structural regional differ-
96 ences in the numbers reported may emerge due to differences in development
97 of the structures for reporting disaster damage, the availability and accuracy
98 of demographic data, and misreporting of events for political reasons. Fur-
99 thermore smaller disasters in developing countries may be under-reported
100 and not reflected in global data. Given the broad definitions adopted,
101 additional difficulties may exist in estimating the number of affected for a
102 disaster. An accurate estimation of the number of affected may be difficult in
103 complex combined emergencies in developing countries including large
104 numbers of displaced persons.

105 Specific issues with respect to classification of events in EM-DAT can be
106 recognised. The first type concerns spatial aggregation issues. In some cases
107 multiple separate events are aggregated to one record in EM-DAT. For
108 example, separate floods, which struck different parts of China throughout
109 August 1998, are combined in one record (Disaster number: 19980165).
110 Classification problems may occur in assigning a disaster type since the
111 distinction between different types may not always be clear. Famine can be
112 caused by drought, a tsunami may result in flooding, and landslides might be
113 triggered by floods. Specific classification problems arise in the categorisation
114 of floods associated with windstorms such as cyclones and hurricanes, as is
115 more extensively discussed in Section 2.2. EM-DAT is a very valuable
116 compilation of data from other sources, but the issues discussed above might
117 be crucial in the interpretation of the data.

118 A further assessment of the quality and accuracy of the available data on
119 natural disasters is given by Guha-Sapir and Below (2002). That study
120 compares publicly accessible EM-DAT with two private disaster databases:
121 NatCat, maintained by Munich Reinsurance, and Sigma maintained by Swiss

122 Reinsurance. All three databases include natural and man-made disasters. A
 123 further comparison shows that differences exist between databases with re-
 124 spect to number of events included and completeness of records. However,
 125 due to the increasing commonality of data sources these differences reduced
 126 significantly with time, i.e. records that date from the 1980's had greater
 127 discrepancies than those from the 1990's.

128 2.2. METHODOLOGY OF CLASSIFICATION OF FLOOD EVENTS

129 The impacts of a flood will be strongly influenced by the characteristics of the
 130 flood itself and the characteristics of the flooded area. For example, rapidly
 131 rising flash floods can cause more devastation than small-scale inundations
 132 due to drainage problems, and developing countries might have fewer capital
 133 resources to spend on flood protection than industrialised regions. In this
 134 study each flood event is categorised according to area characteristics and
 135 flood characteristics with available information from EM-DAT and its
 136 underlying sources.

137 2.2.1. *Area Characteristics*

138 Area characteristics such as population density and magnitude, land-use,
 139 warning- and emergency-systems differ on a regional scale and will have
 140 influence on the loss of life caused by a flood. Also the level of flood pro-
 141 tection and the organisation of flood defence and disaster management are
 142 important factors. Communities in developing countries might be more
 143 resilient to floods than industrialized countries due to experience with past
 144 floods and a strong social, structural and environmental coping capacity.
 145 However, they will have fewer capital resources to spend on sustainable
 146 protection strategies. Complex developed communities might be more vul-
 147 nerable to disasters, but they have the ability to obtain better protection
 148 systems. The factors discussed above mainly concern socio economic factors.
 149 Also geo-physical and climatic factors play an important role in the regional
 150 characteristics of floods. Many aspects are relevant in assessing the relation
 151 between flood vulnerability and location. No general expectancy with respect
 152 to the influence of area characteristics can thus be formulated.

153 2.2.2. *Flood characteristics*

154 To a large degree the devastation caused by a flood will be influenced by its
 155 physical characteristics and its impacts on human attributes such as assets,
 156 lives, etc. These include the hydraulic characteristics of the flood, such as
 157 water depth, flow velocities and rate of rising of the waters. Also the
 158 predictability of the flood, determining the possibilities for evacuation, is a key
 159 factor in the final loss of life. The type of flood largely determines these

160 factors. Due to the complex inter-related processes that can cause flooding, it
 161 is not a simple task to classify them. In (Berz *et al.*, 2001) and (French and
 162 Holt, 1989) three types are distinguished: coastal, river and flash floods. In the
 163 classification proposed in this study a fourth type, drainage problems, is ad-
 164 ded to account for the less catastrophic events associated with heavy rainfall.
 165 Also tsunamis and tidal waves will lead to (coastal) flooding and are added.
 166 Since this article focuses on natural disasters, dam breaks are excluded, as they
 167 are generally considered as human-induced (or manmade) disasters. Six types
 168 of floods are distinguished, which are further listed and described in Box A:
 169 coastal floods, flash floods, river floods, drainage problems, tsunamis and
 170 tidal waves. These types of floods show significant differences in predictability
 171 and impact profile. It is expected that loss of life statistics will differ between
 172 them.

Box A: Types of floods distinguished in this study

- *Coastal floods* (or storm surges): These occur along the coasts of seas and big lakes. Wind storms (for example hurricane or cyclone) and low atmospheric pressure cause set-up of water levels on the coast. When this situation coincides with astronomical high tide at the coast, this can lead to (extreme) high water levels and flooding of the coastal area.
- *Flash floods*: These occur after local rainfall with a high intensity, which leads to a quick raise of water levels causing a threat to lives of the inhabitants. The time available to predict flash floods in advance is limited. Severe rainfall on the flood location may be used as indicator for this type of flood. Generally occurs in mountainous areas.
- *River floods*: Caused by flooding of the river outside its regular boundaries. Can be accompanied by a breach of dikes or dams next to the river. The flood can be caused by various sources: high precipitation levels, not necessarily in the flooded area, or other causes (melting snow, blockage of the flow). In general, extreme river discharges can be predicted in some period in advance.
- *Drainage problems*: caused by high precipitation levels that cannot be handled by regular drainage systems. This type of flood poses a limited threat to life due to limited water levels and causes mainly economic damage.
- *Tsunamis (or seismic sea waves)*: Series of large waves generated by sudden displacement of seawater (caused by earthquake, volcanic eruption or submarine landslide); capable of propagation over large distances and causing a destructive surge on reaching land.
- *Tidal wave/bore*: Abrupt rise of tidal water caused by atmospheric activities moving rapidly inland from the mouth of an estuary or from the coast.

173 In the analyses in this study some of the types of floods are excluded for
 174 several reasons. Although tsunamis and tidal waves may result in flooding of
 175 coastal areas, they are generally categorized as a separate hazards and they
 176 are excluded from this study.

177 Specific classification problems appear in the classification of windstorm
 178 events, such as cyclones and hurricanes, which result in flooding and flood-
 179 related fatalities. These types of events are generally categorised in EM-DAT
 180 as windstorm events and not as floods. As an indication of this issue
 181 two examples are outlined below. Two events categorized in EM-DAT as
 182 windstorm events were the Hurricane Floyd in the United States in 1999
 183 (EM-DAT disaster number 19990327), resulting in 77 fatalities in the United
 184 States, and the tropical cyclone 2B in Bangladesh in 1991(EM-DAT disaster
 185 number 19910120), resulting in an estimated 138,866 fatalities. Event
 186 descriptions and data on the mortality are presented in (NOAA, 2001) and
 187 (MMWR, 2000) for Hurricane Floyd and in (Chowdhurry *et al.*, 1993) for
 188 cyclone 2B. From these sources it can be concluded that in both cases the
 189 majority of fatalities was flood-related. Nevertheless, these events are cate-
 190 gorized as windstorms in EM-DAT. It is believed that many wind-induced
 191 floods in coastal areas are wrongly classified as windstorms in EM-DAT. Due
 192 to this limitation no representative selection of coastal floods could be
 193 achieved. For this reason it was decided to limit the scope of this study to
 194 freshwater flooding: only river floods, flash floods and drainage problems
 195 events are considered.

196 2.3. ANALYSED FLOOD DATA

197 At the time of the investigation (summer 2002) EM-DAT contained data on
 198 1891 flood disasters, which occurred between January 1975 and June 2002.
 199 Since limited information was available on flood events before 1975, these
 200 events have not been included in the analysis. In the investigation of
 201 underlying sources 11 flood events were added to the dataset, which were
 202 originally not included in EM-DAT, see appendix A for an overview of these
 203 events. The total dataset consisted of 1902 flood events. The following types
 204 of information available in the database were used: location (country, con-
 205 tinent, region), date of the disaster and information considering the number
 206 of killed and total number of affected people. However, not all of the 1902
 207 flood records have complete information, for example numbers of killed or
 208 affected people are missing.

209 2.3.1. *Classification of Flood Data*

210 First, all records have been categorised by location, based on the information
 211 on location (country, region and continent) available in EM-DAT. No
 212 information concerning the flood type distinguished in section 2.2 is given in

213 EM-DAT. Therefore it has been attempted to classify the events in the
 214 dataset by flood type with the event descriptions given in the underlying
 215 sources of the database. The most important source was the periodical
 216 Lloyds Casualty Week. Also other sources, such as UN and Red Cross
 217 reports were used. Since the underlying sources were not available for all 27
 218 considered years, mainly events that occurred in the 1990's were classified.
 219 Classification of event type was in many cases complicated since the
 220 description of the event did not contain relevant information on flood type or
 221 characteristics. In other cases the flood event had characteristics of two types
 222 and could be considered as a combined event, for example when heavy
 223 rainfall causes flash floods in the mountains and river floods in the flood
 224 plain. In these situations, the flood type, which is thought to have dominated
 225 loss of life, has been chosen as the primary flood type. Based on the
 226 underlying sources and the event description in the comments section of EM-
 227 DAT, 19 events within the floods category were categorised as coastal floods.
 228 These were removed from the dataset, since the scope of this study is limited
 229 to freshwater floods. The fact that coastal floods form a limited part of the
 230 total flood dataset (1.05%), supports the point made in Section 2.2, i.e. that a
 231 substantial number of flood events in coastal areas might have been cate-
 232 gorised as windstorms in EM-DAT. An overview of the analysed data, and
 233 the number of available records for different selection criteria is given in
 234 Table I.

235 Since information on the location is known for all records, in total 632
 236 have complete information on location, type of flood, and the number of
 237 killed and total affected persons. In the analysis of the statistics different
 238 subsets of the total dataset are selected to allow maximal use of the available

Table I. Overview of available number of records for different variables

Subset of total dataset	Number of records
All flood events in EM-DAT 1975–June 2002	1891
Flood events added to dataset (Appendix A)	11
Coastal flood events removed from dataset	19
Total dataset of freshwater floods	1883
Categorised by location	1883
Deaths reported	1761
Total affected reported	1505
Both deaths and total affected reported	1505
Categorised by type	719
Deaths reported	696
Total affected reported	632
Both deaths and total affected reported	632

239 data. With respect to the completeness of the dataset, it has to be stated that
 240 the dataset will not cover all flood events that occurred throughout the world.
 241 However, considering the large number of analysed events, it is believed that
 242 the considered dataset forms a representative sample of worldwide freshwater
 243 flood events.

244 3. Analysis of Human Loss of Life Caused by Floods Worldwide

245 3.1. INTRODUCTION AND GENERAL OVERVIEW

246 This study evaluates global flood statistics for different types of floods in
 247 different regions with respect to the numbers of killed and total affected,
 248 and the mortality. As a first parameter of the magnitude of flood effects
 249 the numbers of killed are analysed. Many adverse, but non-lethal effects,
 250 such as the loss of home and property, may occur amongst those that are
 251 affected by a flood. Together with the number of killed, the total number
 252 of affected can also be considered as an indicator of the extent of the
 253 impacts of a flood event. The relative severity of an event can be ex-
 254 pressed in a mortality number. Mortality is defined in this study as the
 255 fraction of total number of affected persons that lose their life in a flood
 256 event.

$$\text{Mortality} = \text{number of fatalities} / \text{total number of affected}$$

258 Note that in other contexts mortality is defined alternatively, for example
 259 as the number of killed per capita per year. However, the measure of
 260 mortality used here gives a better insight in the magnitude of human loss
 261 of life as it includes an estimate of the actually affected population by an
 262 event.

263 Over the considered period, January 1975–June 2002, 1883 freshwater
 264 flood events in the database are reported to have killed 176,864 people and
 265 affected 2.27 billion. The five floods with most persons killed are shown in
 266 Table II.

267 The event with most fatalities occurred in 1999 in Venezuela: about 30,000
 268 people died during flash floods and extensive land and mudslides. Table II
 269 illustrates that the numbers of affected reported in the dataset differs strongly
 270 between events. While tens or hundreds millions have been affected in large-
 271 scale floods in India and China, the number of total affected persons is
 272 limited for other events.

273 The remainder of Section 3 will contain the analysis of chronological
 274 developments in the statistics (Section 3.2), the evaluations by region (Section
 275 3.3), flood type (Section 3.4) and the combination of region and flood type
 276 (Section 3.5), and a discussion of determinants of loss of life in floods
 277 (Section 3.6).

Table II. Overview of the ten freshwater flood events with most people killed

Country	Year	Month	Day	Killed	Total affected	Description
Venezuela	1999	12	19	30,000	483,635	flash and river floods and landslides around Caracas and other areas
Afghanistan	1988	6		6345	166,831	floods in Badakhshan, Baghlan, Heart, Kabul, Jouzjan, Samangan, Takhar provinces
China, P. Rep.	1980	6		6200	67,000	floods in Sichuan, Anhui, Hubei
India	1978	7		3800	32,000,000	floods in north and northeast India
China, P. Rep.	1998	8	6	3656	238,973,000	river floods combined with storms and landslides in Hubei, Hunan, Sichuan, Jiangxi, Fujian, Guanxi Prov.

278 3.2. CHRONOLOGICAL DEVELOPMENT

279 In this section chronological trends in the dataset statistics are investigated.
 280 The number of floods included in the dataset per year is shown in Figure 1.
 281 This analysis is limited to the period 1975–2001, since no complete infor-
 282 mation is available for the year 2002.

283 The figure shows, especially in the late 1990's, a significant increase in the
 284 number of flood events included in the dataset per year. Whether this growth
 285 is due to an increase in the number of occurring flood events, or due to a
 286 more accurate and extensive data collection cannot be directly derived from
 287 the data. However, the improvement in data collection is believed to play an
 288 important role in this increase, since (WHO, 2002) states with respect to EM-
 289 DAT: "Since 1975 there was a substantial improvement in reporting and data
 290 collection, and since the 1990's more than 90% coverage was achieved."
 291 Furthermore the data on other natural disasters in EM-DAT shows similar
 292 growing trends in the number of reported disasters per year. A structured and
 293 standardized data collection over time will enable researchers to recognize
 294 unbiased time patterns in the occurrence of natural disasters.

295 Figure 2 shows the total numbers of killed and affected per year, together
 296 with the average number of people killed and affected per event. For all four
 297 series trend lines are included.

298 The trend analysis shows a slightly growing trend in the numbers of people
 299 killed and affected per event. Since also the number of flood events per year

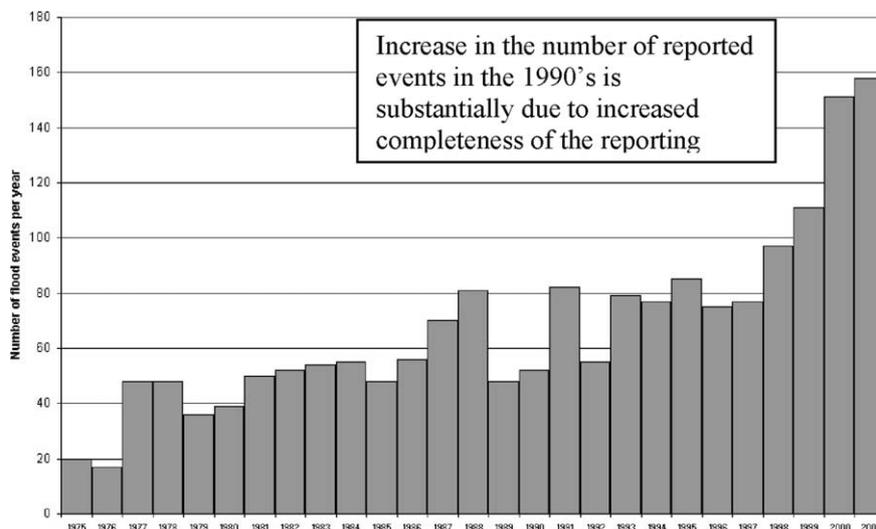


Figure 1. Number of flood events per year included in the dataset for the period 1975–2001.

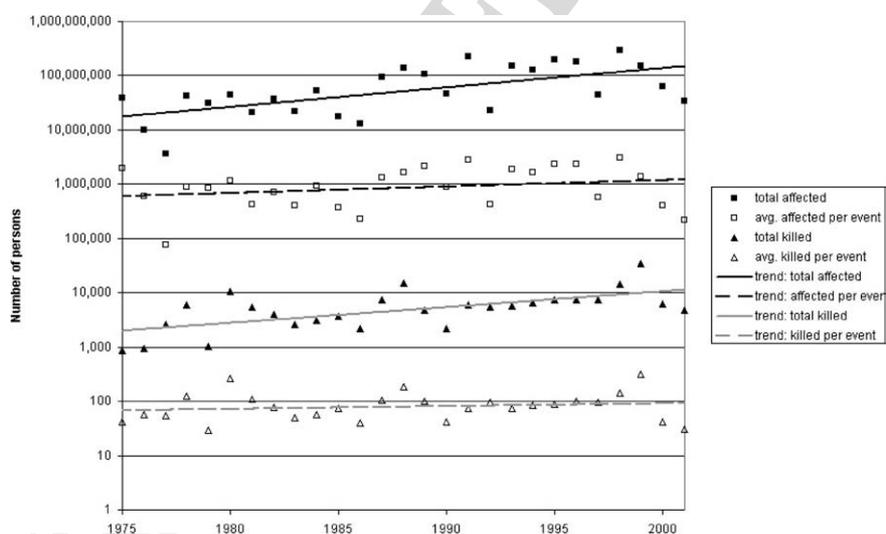


Figure 2. Chronological development of global flood statistics: Numbers of killed and affected per year, average number of people killed and affected per event, and trend lines, for the period 1975–2001.

300 increases (see Figure 1) a stronger growing trend in the total numbers of
 301 killed and affected can be observed. As such these results do not follow the
 302 general trends in impacts of natural disasters of the past 30 years, which show



303 a decrease in the numbers of killed and an increase in the number of affected
304 (IFRCRCS, 2003). As noted by Pielke (1999) specific care should be taken
305 with the interpretation of such data on flood fatalities. Several issues may
306 influence temporal trends, such as the occurrence of extreme events and the
307 completeness and accuracy of the considered data. Furthermore develop-
308 ments in socio-economic determinants play an important role, such as
309 developments in population numbers, urbanization, infrastructure and
310 disaster management systems.

311 3.3. ANALYSIS BY REGION

312 The relation between flood location and impact statistics has been investi-
313 gated on two levels: by continent and by region. Table III shows the statistics
314 by continent for the number of people killed, affected, and the average
315 mortality per flood event. The presented numbers show large standard
316 deviations due to the fact that these presented figures are all averaged over
317 significantly varying events.

318 The mortality averaged over all global flood events amounts 1.14%. If
319 Oceania is excluded, no significant differences in mortality between the
320 continents can be concluded. However, some major differences between
321 continents appear when number of killed and total affected are considered.
322 While mortality for European floods is highest of all continents, the impacts
323 in terms of persons killed and affected for the floods in Europe are relatively
324 limited when compared with other continents. Asian floods result in an
325 average 1.12% mortality, but affect and kill more people than floods in other
326 continents as they affect substantial larger areas with large populations.

327 The statistics have also been analysed by the 17 regions defined in EM-DAT.
328 The analysis shows that considerable variations in flood mortality between
329 different regions exist within one continent. For example, highest mortality is
330 found for the Southern Africa region (5.7%) and lowest for Western Africa
331 region (0.08%). The high average of South Africa can be explained by the small
332 number of events included (26) and the dominance of one high mortality event,
333 a flash flood in Laingsburg (South Africa) in 1981 with a 56% mortality. The
334 West African dataset does not include any high mortality event and therefore
335 has a substantial lower average mortality. Similarly the dataset for floods in
336 European floods has a high average mortality (2%), due to the inclusion of
337 some high mortality flash flood events. As the occurrence of certain types of
338 (high mortality) floods is believed to be a major reason for regional differences,
339 the systematic regional biases in reporting and data collection mentioned in
340 Section 2, are expected to have less influence on the outcomes. The aggregated
341 statistics by region also do not indicate a relation between flood mortality and
342 its determinants, such as socio-economic development of region. This issue is
343 further discussed in Section 3.6.

Table III. Number of killed and total affected per event by continent. Table indicates the number of analysed records, and average and standard deviation values

Continent	Number of records	Killed per flood		Total affected per flood		Event mortality	
		Average	Standard deviation	Average	Standard deviation	Average %	Standard deviation %
Africa	303	42	165	99,958	258,951	1.29	8.13
Americas	453	100	1410	109,221	460,434	1.07	5.53
Asia	728	160	483	3,604,429	18,236,759	1.13	5.11
Europe	220	12	23	45,975	170,358	1.41	6.38
Oceania	57	4	8	10,178	33,377	0.09	0.36



Table IV. Number of killed and total affected per event by flood type. Table indicates the number of analysed records, and average and standard deviation values

Flood type	Number of records	Killed per flood		Total affected per flood	
		Average	Standard deviation	Average	Standard deviation
Drainage problems	70	12	36	156,873	770,639
Flash	234	181	1958	55,152	241,708
River	392	118	355	3,373,617	18,913,961

344 3.4. ANALYSIS BY FLOOD TYPE

345 In this section the flood events have been categorised by one of the three
 346 defined flood types (flash flood, river flood and drainage problems). Table IV
 347 shows the statistics by flood type on the average numbers of killed and total
 348 affected per flood event. Figure 3 indicates the magnitude of the floods, for
 349 the events with one or more fatalities. The total number of affected people is
 350 shown on the x -axis and the number of killed on the y -axis. The average and
 351 standard deviation of event mortality by flood type are shown in Figure 4.
 352 Table IV indicates that on average flash floods kill most persons per event,
 353 while river floods affect most persons. Figure 3 shows that floods with high
 354 numbers of affected are river floods. Most of these events occurred in Asia:
 355 the first 45 floods with the highest number of people affected all occurred in
 356 China, India, Bangladesh and Pakistan. Flash floods form a majority of the
 357 floods with lower numbers of affected persons. Event mortality is in the order
 358 of magnitude of 10^{-3} – 10^{-4} for events with 100,000 persons affected, while
 359 mortality is in the order of magnitude of 10^{-5} for events with 100 million
 360 affected. As the affected population increases, generally the affected area will
 361 increase. This will therefore include areas where the flood effects will be less
 362 lethal (Graham, 1999). Secondly, more time for warning and evacuation will
 363 be available when a large area is affected, since the flood will need consid-
 364 erable time to progress through the area. Figure 4 shows that average mortal-
 365 ity is highest for flash floods, as these are rapidly developing events, which
 366 severely affect smaller areas. River floods affect larger areas and more per-
 367 sons, but result in relatively low values for numbers of fatalities and mortality
 368 per event. Loss of life and mortality are low for drainage problems. A large
 369 part (50%) of the drainage problems events in the dataset causes one or zero
 370 fatalities.

371 By an analysis of variation coefficients it can be shown that the relative
 372 variation of the mortality for the analysis by flood type is smaller than for the
 373 analysis by continent. The variation coefficient is a measure for the variation
 374 in the results and can be obtained by dividing the standard deviation and

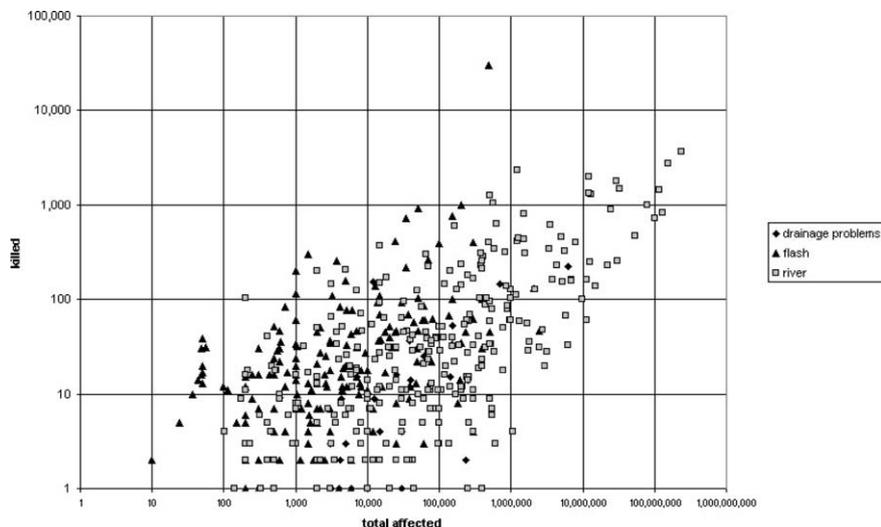


Figure 3. Number of fatalities and people affected for floods with more than 0 fatalities by flood type.

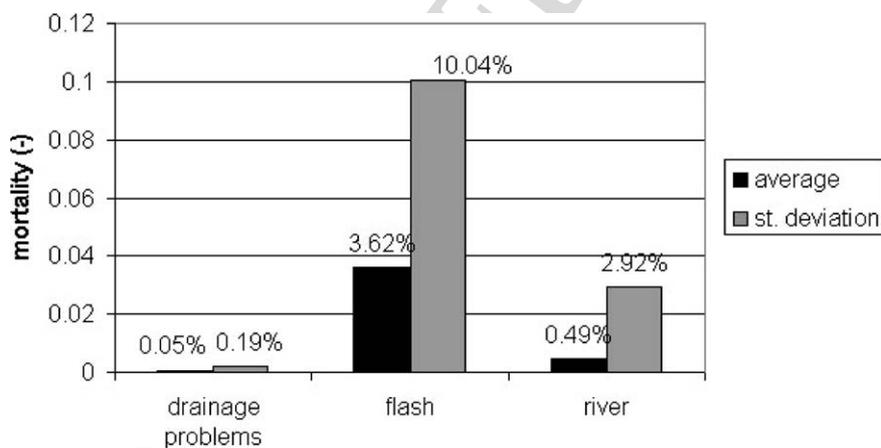


Figure 4. Average and standard deviation of mortality per flood event for different types of floods.

375 average values. While variation coefficients for the analysis by continent
 376 range from 4.5 to 6.3 (derived from Table III), variation coefficients for the
 377 different flood types are lower: drainage problems: 3.5; flash floods: 2.8. Only
 378 river floods statistics show a somewhat higher variation coefficient of 6.0.
 379 However, in general this indicates that the flood type is a better variable in
 380 interpreting flood mortality than flood location.

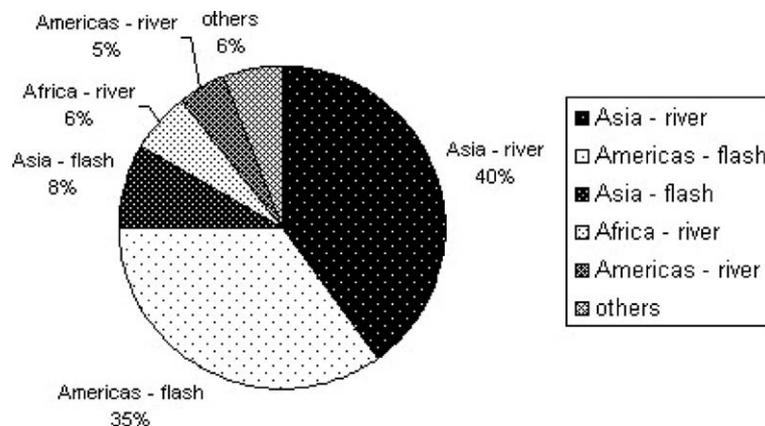


Figure 5. Contribution to total number of killed for the combinations of continent and flood.

381 3.5. CROSS ANALYSIS BY CONTINENT AND FLOOD TYPE

382 In this section the combined relevance of flood location and type is consid-
 383 ered. In total 719 flood events are categorised by both flood type and con-
 384 tinent (also see Section 2.3), which killed over 88,000 persons and affected
 385 1.36 billion. First, the contribution to the total reported of number of killed
 386 for the combination of continent and flood type is shown in Figure 5.

387 Figure 5 shows that especially river floods in Asia and flash floods in
 388 Americas contribute much to the total number of killed. In the case of the
 389 Asian river floods multiple separate events, each causing hundreds to thou-
 390 sands of victims, contribute to the cumulative number of killed. The high
 391 contribution of flash floods in Americas is almost totally determined by the
 392 disastrous flash flood event in 1999 in Venezuela which killed about 30,000
 393 persons. Apart from the contributions of Asian flash floods and American
 394 and African river floods, other individual continent-flood type combinations
 395 do not contribute significantly to the total numbers of killed.

396 A similar analysis for the contribution of continent-type combinations can
 397 be carried out for the number of total affected. In the dataset numbers of
 398 total affected are reported for 632 flood events, which affected over 1.36
 399 billion persons. It appears that Asian river floods account for more than 96%
 400 of the total affected persons. This is due to the large-scale river floods in the
 401 Asian region and the large populations living in the affected regions.
 402 Examples are the 1996 and 1998 floods in China, which affected 154 and 238
 403 million persons. While the Asian river floods almost totally determine the
 404 cumulative number of affected, their contribution to the total number of
 405 killed is smaller (see Figure 5). Other flood continent-type combinations each
 406 account for less than 1% of the total number of affected. The average

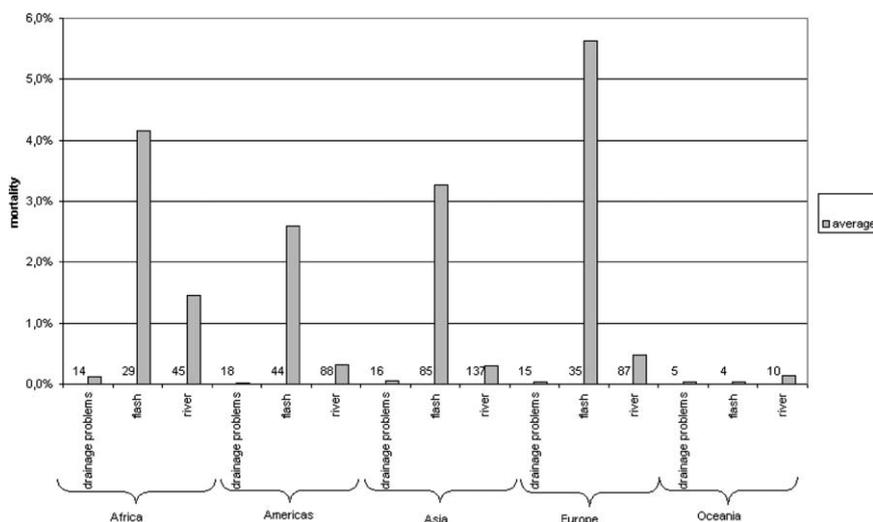


Figure 6. Average mortality per event by continent and flood type. Figures at the bottom indicate the number of analysed records.

407 mortality per flood event for the combination of continent and flood type is
408 shown in Figure 6.

409 Figure 6 indicates that in general mortalities are quite constant by flood
410 type considered over the different continents. For example, flash floods result
411 in the following average mortalities for the different regions Africa (4.2%),
412 Americas (2.7%), Asia (3.2%) and Europe (5.6%). Similar consistency is
413 shown for river floods in the different continents (Americas: 0.33%, Asia:
414 0.30%, Europe: 0.47%), with an outlying average mortality of 1.46% for
415 African river floods. For Oceania not enough records are available to be able
416 to draw any reasonable conclusions. Table V shows more specific data for the
417 American, Asian and European river floods.

418 It shows that the average mortalities per event are of the same order of
419 magnitude. However, the average impact of the events (i.e., the average
420 numbers of killed and affected) differs strongly between the continents, as is
421 also shown in Figure 5. Especially Asian river floods are more significant in
422 terms of persons killed and affected, both when the averages per event and
423 the contribution to the total numbers for floods are considered. A further
424 analysis of the flood type and continent combinations in the dataset will show
425 a similar pattern: while average mortality seems to be quite constant among
426 the different types of floods, average flood magnitude (numbers of killed and
427 affected) differs between the continents.

428 Figure 6 only shows average mortality. An analysis of variation coeffi-
429 cients for the combination of continent and type shows that values range

Table V. Comparison of data on river flood statistics for Americas, Asia and Europe. Table shows averages per flood event and contribution to total number of persons killed and affected in floods

Flood continent and type	Number of records	Average per flood event			Contribution to total number of	
		Mortality (%)	Killed	Affected	Killed (%)	Affected (%)
Americas - river floods	93	0.33	46	76,744	4.67	0.52
Asian - river floods	148	0.30	241	8,873,735	40.03	96.42
European - river floods	103	0.47	10	52,918	1.15	0.40

430 between 1 and 5.2, with an average of 2.8. The variation coefficients for the
 431 combinations of continent and flood type are smaller than the variations
 432 obtained for flood type only, which has resulted in an average variation
 433 coefficient of 4.

434 3.6. DISCUSSION OF DETERMINANTS OF LOSS OF LIFE IN FLOODS

435 The results in the previous paragraphs clearly highlighted the importance of
 436 flood hazard characteristics, as average flood mortality significantly differs
 437 between the flood types. However, the losses caused by a disaster will just as
 438 much be a function of the human (vulnerability) context. The results of the
 439 regional analysis in Section 3.3, did not reflect the influence of socio-economic
 440 conditions, as in these results the hazard characteristics were dominant. The
 441 considered dataset in this study does not include information on
 442 socio-economic factors, which can be used for further interpretation. Past
 443 work may well provide insight in the interaction between socio-economic
 444 factors and disaster losses. A study by Haque (2003) considered the relations
 445 between natural disaster losses and their determinants in South and South-
 446 East Asia. In analysing predictors for natural disaster death statistics signif-
 447 icant correlations were found for demographic variables (population density,
 448 labour force and urban population). Moreover, the study showed revealed
 449 shifts in the influence of variables over the considered period denoting the
 450 importance of socio-economic developments in the chronological trends. On
 451 the one hand, development may reduce disaster vulnerability due to better
 452 emergency preparedness, coping ability and response (Haque, 2003). On the
 453 other hand, the development of protection systems and capacities may not
 454 keep up with the development of the values to be protected, and thus lead to
 455 an increase of vulnerability. Overall, the available evidence proves that
 456 disaster losses cannot be only be related to hazard characteristics and that
 457 they cannot be separated from societal and developmental factors.

458 4. Comparison of Flood Statistics With Other Natural Disasters

459 In this section the impacts of flood events worldwide are compared with the
 460 consequences of other natural disasters. This section is not intended as a full
 461 analysis of all different kinds of natural disasters, it merely attempts to relate
 462 the impacts of floods to other types of natural disasters. EM-DAT includes
 463 information on several types of other natural disasters: drought, earthquake,
 464 epidemic, extreme temperature, famine, insect infestation, slide, volcano,
 465 wave/surge, wild fire and windstorm. The issues in categorising and reporting
 466 events (discussed in Section 2.1) might bias the distribution of events and
 467 statistics over the different disaster categories. However, despite these limi-
 468 tations a comparison between data for different types of disaster can provide
 469 insight into the impacts of the various natural disaster types.

470 The analysed data covers the period 1975–2001, for which 6287 events are
 471 recorded. In total 1.99 million persons were killed and over 4 billion persons
 472 affected in the 6287 reported disasters in the period 1975–2001. In Section 3.1
 473 a growing trend in the number of flood events per year was observed for the
 474 late 1990's. Similar growing trends with respect to number of reported events
 475 and number of affected are observed for the other types of disasters in other
 476 sources, for example (MünichRe, 2003) and (IFRCRCS, 2002). Table VI
 477 presents the EM-DAT data on the main types of natural disasters with re-
 478 spect to the number of events, number of persons killed and affected, and
 479 average mortality per event. Disaster types that contribute less than 5% to
 480 the total in the total killed affected categories are aggregated in the “others”
 481 category (i.e. extreme temperature, insect infestation, slide, volcano, wave/
 482 surge, wild fire).

483 It is worth noting that this comparison only considers the statistics on loss
 484 of human life. In a more comprehensive comparison of natural disasters their
 485 different natures and damage “footprints”, resulting in different extents and
 486 compositions of direct and indirect consequences, should be taken into ac-
 487 count. Of all recorded natural disasters for the considered period floods were
 488 the most frequently occurring, followed by windstorms. Droughts and
 489 earthquakes killed most persons. While other disasters are more significant
 490 with respect to numbers of killed, floods by far affect the most persons, in
 491 total almost 2.2 billion. These results with respect to the distribution of
 492 numbers of events and persons killed over the different disaster categories
 493 agree with the results obtained by Shah (1983) for the period 1947–1980. It
 494 has to be noted that droughts were not included in that study. Of the 30
 495 natural disasters, which affected most persons in the period 1975–2001, 21
 496 were floods. The large contribution of floods to the cumulated number of
 497 affected persons in natural disasters is determined by Asian river floods, as is
 498 also discussed in Section 3.5. Table VI shows that especially epidemics and to
 499 a lesser extent earthquakes and windstorms are generally high mortality

Table VI. EM-DAT data on natural disasters for the period 1975–2001. Table shows number of events and total number of killed and affected persons, and their contribution to the total numbers. Last column shows the average mortality per event

Disaster type	Number of events	Total killed	Total affected	Average mortality per event (%)
Drought	495 (8)	560,381 (28)	1,381,353,218 (32.7)	0.1
Earthquake	548 (9)	483,552 (24)	79,316,329 (1.9)	3.1
Epidemic	656 (10)	143,276 (7)	17,712,233 (0.4)	10.1
Famine	62 (1)	282,299 (14)	62,913,301 (1.5)	0.2
Freshwater floods	1816 (29)	175,056 (9)	2,198,579,362 (52.1)	1.2
Wind storm	1741 (28)	279,894 (14)	462,772,019 (11.0)	2.6
Others	969 (15)	65,892 (3)	19,484,370 (0.5)	
TOTAL	6287	1,990,350	4,222,130,832	

Numbers in parentheses denote percentage.

500 events. Average flood mortality is relatively low, but it is shown that this will
 501 strongly depend on the flood type. Average flash flood mortality (3.6%) is in
 502 the same order of magnitude as average earthquake (3.1%) and windstorm
 503 mortality (2.6%). The relatively high average event mortalities for these
 504 events with little or no warning possibilities indicate the importance of
 505 warning and evacuation before and during disasters in the reduction of loss
 506 of life.

507 The figures in Table VI do not indicate how often an event with a certain
 508 magnitude occurs. The frequency of occurrence in a certain period can be
 509 plotted against the consequences of an event in a so-called FN curve. This
 510 type of curve is used in several countries for the risk assessment of various
 511 hazardous activities (chemical sites, airports, transport of dangerous goods),
 512 see for example (Jonkman *et al.*, 2003) for an overview of applications. In
 513 these applications the FN curve is used to show the expected frequency of
 514 occurrence of a certain event in the future. In this paper the FN curve is used
 515 to assess the frequency of occurrence of natural disasters with certain con-
 516 sequences in the past. A similar use of FN curves for the analysis of occur-
 517 rence of historical landslides is given by (Guzzetti, 2000). Figure 7 shows, for
 518 the period 1975–2001, the worldwide annual frequency of occurrence of an
 519 event with N or more persons killed on a double logarithmic scale. The types
 520 of disasters which contribute most to the total number of killed in Table VI
 521 (flood, drought, earthquake, famine, wind storm, epidemic) are depicted.
 522 This analysis does not take into account growing trends in the number of
 523 disasters per year, the frequencies shown in the graph are averaged over a
 524 period of 27 years.

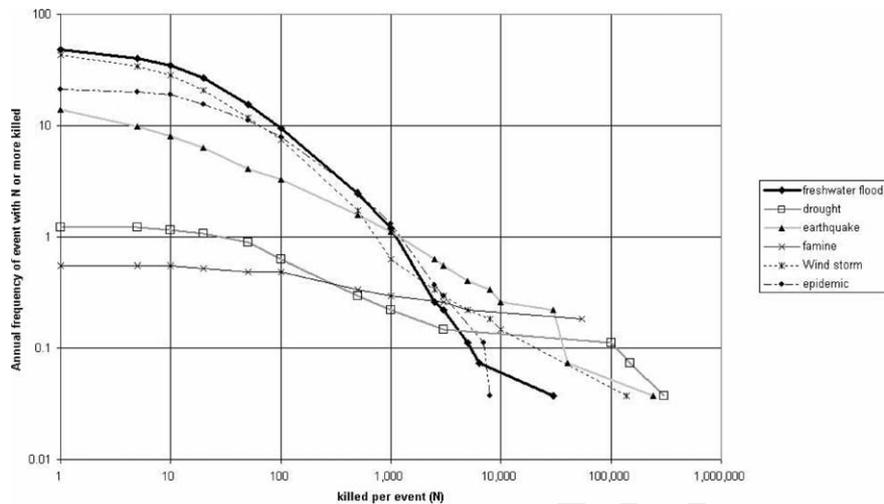


Figure 7. FN curves for various types of natural disasters for the period 1975–2001. It shows the frequency of occurrence of a disaster with N or more fatalities in the considered period on a double logarithmic scale.

525 Figure 7 shows that floods and windstorms were for the considered period
 526 the most frequently occurring natural disasters in the category of disasters
 527 that caused between 1 and 1000 fatalities per event. In the higher ranges of
 528 numbers of fatalities per event, earthquakes, famines and droughts occurred
 529 most frequently. The flood with most fatalities took place in 1999 in Vene-
 530 zuela, killing approximately 30,000 persons. The figure shows that multiple
 531 earthquakes, famines and droughts with even more fatalities, sometimes over
 532 100,000, occurred.

533 A similar analysis can be carried out for the frequency of occurrence of
 534 disasters with a certain number of affected people (graph not shown). This
 535 analysis indicates that floods are the most frequently occurring disaster in all
 536 ranges of numbers of affected persons. For disasters over 1 million affected,
 537 also droughts are important. The event in the period 1975–2001 which af-
 538 fected most people of all natural disasters was not a flood, but a drought in
 539 1987 in India which directly and indirectly affected about 300 million per-
 540 sons.

541 5. Conclusions

542 In the period 1975–2001 a total of 1816 worldwide freshwater flood events
 543 killed over 175,000 persons and affected more than 2.2 billion persons. These
 544 figures indicate the enormous impacts of flood disasters on a worldwide scale.

545 In this study loss of life statistics for different types of floods and different
 546 regions have been investigated. Available information from the OFDA/
 547 CRED International Disaster Database (EM-DAT) has been analysed for a
 548 large number of global flood events which occurred between January 1975
 549 and June 2002. The study is limited to freshwater flood types (drainage
 550 problems, flash and river flood) since the data on the occurrence of coastal
 551 flood events is included in different disaster categories in EM-DAT. The
 552 following can be concluded:

- 553 • When considered on a worldwide scale, Asian river floods are most dev-
 554 astating in terms of number of persons killed and affected. In the con-
 555 sidered dataset these floods accounted for 40% of the total number of
 556 flood fatalities and for 96% of the total persons affected. These figures
 557 outline the importance of preventive and mitigating measures specifically
 558 aiming at the Asian region.
- 559 • No significant differences in average mortality per flood event (= number
 560 of killed/number of affected) could be observed between different conti-
 561 nents. Larger differences are obtained when the average flood mortality
 562 per event is assessed for the 17 world-regions defined in EM-DAT. The
 563 differences are mainly caused by the dominance of some high mortality
 564 events in the regional datasets. These results do not indicate a relation
 565 between mortality and the underlying determinants, such as
 566 socio-economic development of the region.
- 567 • Significant differences in average mortality per event are observed when
 568 the different types of floods are distinguished. A low average mortality is
 569 obtained for drainage problems. Average mortality for river flood events
 570 is 0.49%, but it has to be noted that these events are very significant in
 571 terms of numbers of persons killed and affected. Highest average mor-
 572 tality per event, 3.6%, is obtained for flash floods. Although flash floods
 573 generally affect a limited number of persons when compared with other
 574 types of floods, they can be considered as the most deadly type of flood.
 575 The high mortality for unexpected flash flood illustrates the importance of
 576 the development of good warning and prediction systems.
- 577 • A comparison with statistics for other natural disasters which occurred in
 578 the period 1975–2001 shows that freshwater floods are most significant in
 579 terms of numbers of affected persons, affecting over 50% of all reported
 580 natural disaster victims in the considered period. A combined analysis of
 581 the frequency of disasters with certain impacts shows that floods the most
 582 frequently occurring events in the category of natural disasters which
 583 caused between 1 and 1000 fatalities per event.

584 This study constitutes a comprehensive analysis based on publicly avail-
 585 able data. However, several issues which are crucial in further interpretation
 586 can be identified. First of all, the obtained mortalities per flood events show

587 considerable variations, which are expressed in high standard deviation
588 values. Given these large variations and the uncertainties in the estimation of
589 numbers of people killed and affected, the presented results should not be
590 used as predictors for the loss of life to be expected in specific flood events.
591 However, these global statistics do provide insight in typical patterns in the
592 consequences of different types of floods.

593 Secondly, there are issues associated with accuracy of the reported num-
594 bers, the spatial and temporal aggregation of events, and the disaster type
595 categorization in EM-DAT. Specific difficulties have been indicated with
596 respect to the categorisation of (coastal) flood events associated with the
597 occurrence of windstorms such as hurricanes and cyclones. Therefore this
598 analysis was limited to freshwater floods. Extremely high death tolls have
599 been reported for coastal flood events in the past. Chowdhury *et al.*, (1993)
600 report 139,000 fatalities for the floods in Bangladesh following the 1991
601 cyclone 2B and 220,000 fatalities after the 1970 cyclone. This emphasizes the
602 importance of the inclusion of these events in future analyses of global sta-
603 tistics on floods and fatalities. It is recommended to develop a consistent
604 categorisation methodology for all flood events, to be able include coastal
605 flood events in further studies on loss of life in worldwide floods.

606 Furthermore, the insight into the causes and factors associated with flood
607 mortality is limited. This outlines the need for more and better quantitative
608 data on health impacts associated with all categories of flooding, including
609 centralized and systematic national reporting of deaths and injuries from
610 floods using a standardized methodology (WHO, 2002).

611 Further flood specific research should provide insights in the relations
612 between flood losses and hazard characteristics and vulnerability factors,
613 including societal, organizational and developmental characteristics. More
614 insight in such relations will provide a basis for formulation and (cost)
615 effective prioritization of mitigation strategies and policies. Haque (2003)
616 suggests that interventions into population growth and distribution can be
617 used as instruments for disaster damage mitigation. Furthermore improved
618 land use planning, the development of early warning systems and the use of
619 financial economic tools can lead to mitigation of the damages. Other policy
620 developments might concern the strengthening of international emergency
621 preparedness and response. More evidence is needed to prove and compare
622 the effectiveness of such policies. The development of risk indicators, for
623 example for (potential) loss of human life, is important in the development of
624 risk reduction strategies and risk management practices. The results of this
625 study show that the global statistics on loss of human life provide the pos-
626 sibility to (partly) evaluate the influence of hazard and vulnerability aspects
627 and trends in disaster impacts. Finally, the results of this study show that too
628 many people die in and are affected by floods. Further preventive and miti-
629 gating policy actions are necessary.



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Appendix A: Flood events added to original dataset (source: Lloyds Casualty Week)

Country	Year	Month	Day	Killed	Total affected	Type
Venezuela	1996	12	5	0	10,000	River
Peru	1997	2	16–19	300	1500	Flash
Indonesia	2001	2	10	94	15,000	River
Philippines	2001	2	19	16	Unknown	Flash
China, P. Rep.	1998	5	25	128	174,000	River
Paraguay	1998	5	7	0	75,000	Drainage problems
Uzbekistan	1998	7	8	93	14,000	Flash
Spain	1996	8	8	83	700	Flash
Ethiopia	1996	8	26	1	20,000	River
Japan	1998	8	4	1	30,000	Drainage problems
United States	1998	10		18	7000	River

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